

IRA Asset Transfer Form

William Blair

Account Number (if known)

For assistance with this form, please call 1-800-635-2886 or 1-800-742-7272.

Return your completed application to:

William Blair Funds
P.O. Box 219137
Kansas City, MO 64121-9137

Our overnight mail address is:

William Blair Funds
430 W. 7th Street, Suite 219137
Kansas City, MO 64105-1407

THE USA PATRIOT ACT

To help the government fight the funding of terrorism and money laundering activities, Federal Law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. You may also be asked to show other identifying documentation.

Bolded fields must be completed and will be verified as required by the Patriot Act. If bolded fields are not completed, this application will be returned.

IMPORTANT INFORMATION

Please complete this form to authorize William Blair Funds to initiate an asset transfer of your existing IRA from another financial institution.

- If you are opening a new IRA account at William Blair, you must also complete and sign a William Blair IRA application.
- Transfers between traditional IRAs and Roth IRAs are not permissible.
- A separate IRA Transfer Form must be completed for each institution from which assets are being transferred.
- If you will reach age 70-1/2 during this calendar year, or are older, you will be required to take a minimum distribution from your retirement plan. You can either take that distribution before transferring the assets to us, or leave the amount of the required minimum distribution (RMD) in the existing retirement plan and withdraw it prior to the distribution deadline, generally December 31.
- Asset transfers are completed in an average of three to four weeks from the date William Blair receives your request.

1. ACCOUNT OWNER INFORMATION

Owner's Name

Social Security Number

Street Address and Apartment or P.O. Box Number (If mailing address is a post office box, a street address is also required by the Patriot Act. APO and FPO addresses will be accepted.)

City

State

Zip Code

Daytime Telephone Number

Evening Telephone Number

Account Number

Birth Date (Month, Day, Year)

Country of Residence

Citizenship: You *must* check one: **For foreign accounts, one of the following must be provided: Taxpayer ID, Alien ID, or passport number with country of issuance.**

☐ U.S. Citizen ☐ Resident Alien ☐ Nonresident Alien

Alien ID or passport number

Country of issuance

2. CURRENT CUSTODIAN INFORMATION

Attach a copy of a current statement from your existing account to this IRA Transfer Form. Complete information will help avoid delays with your transfer.

Name of Current IRA Custodian

Street Address and Apartment or P.O. Box Number

City

State

Zip Code

Contact Person (if any)

Telephone Number

3. INSTRUCTIONS TO CURRENT IRA CUSTODIAN

All assets indicated below must be held with the custodian named in Section 2

- ☐ Please transfer the assets indicated below to William Blair Funds. *(Please note: Your entire account will be liquidated and transferred unless you specify a dollar amount below.)*

Name of Investment	Account Number	All OR Dollar Amount <i>(For partial transfer only)</i>
Name of Investment	Account Number	All OR Dollar Amount <i>(For partial transfer only)</i>

4. IRA TRANSFER INSTRUCTIONS

Please choose the scenario below that best describes your type of transfer. You may choose only one type: A, B, C, or D.

- A. Asset transfer from a traditional IRA held by another custodian to a William Blair traditional IRA.** *(Check only one, and then proceed to Section 5.)*

- ☐ I am opening a new William Blair traditional IRA. *(Be sure to complete an IRA account application.)*
- ☐ Invest these assets in my established William Blair traditional IRA.

- B. Asset transfer from a direct rollover IRA held by another custodian to a William Blair direct rollover IRA.** *(Choose one of the options directly below if your assets are currently invested in a traditional IRA at another institution but had been invested previously in an employer sponsored retirement plan – for example, a 401(k) – then proceed to Section 5.)*

- ☐ I am opening a new William Blair direct rollover IRA. *(Complete an IRA account application.)*
- ☐ Invest these assets in my established William Blair direct rollover IRA. This choice may involve the commingling of direct rollover assets from different retirement plans, which may affect your ability to complete a future direct rollover of these assets into a new employer-sponsored retirement plan.

- C. Asset transfer from a Roth IRA held by another custodian to a William Blair Roth IRA.** *(Check only one and proceed to Section 5.)*

- ☐ I am opening a new William Blair ROTH IRA. *(Be sure to complete an IRA account application.)*
- ☐ Invest these assets in my established William Blair ROTH IRA account.

- D. Asset transfer from an Inherited IRA as the beneficiary of a deceased:**

- ☐ IRA owner or participant in an employer qualified plan or 403(b) arrangement.
- ☐ Eligible governmental 457 plan.

5. YOUR FUND CHOICES

It is mandatory to complete this section.

Fund Names	N Shares:		I Shares:		Amount enclosed or to be forwarded by current custodian	
	Fund Share Class	Fund #	Fund Share Class	Fund #		
Growth Fund	☐ N Shares	268	☐ I Shares	1752	\$	OR %
Large Cap Growth Fund	☐ N Shares	1765	☐ I Shares	1781	\$	OR %
Mid Cap Value Fund			☐ I Shares	2786	\$	OR %
Small-Mid Cap Core Fund			☐ I Shares	6200	\$	OR %
Small-Mid Cap Growth Fund	☐ N Shares	1475	☐ I Shares	1474	\$	OR %
Small-Mid Cap Value Fund			☐ I Shares	2181	\$	OR %
Small Cap Growth Fund	☐ N Shares	1762	☐ I Shares	1778	\$	OR %
Small Cap Value Fund	☐ N Shares	2893	☐ I Shares	2894	\$	OR %
Global Leaders Fund	☐ N Shares	2153	☐ I Shares	2154	\$	OR %
International Leaders Fund	☐ N Shares	2270	☐ I Shares	2271	\$	OR %
International Growth Fund	☐ N Shares	317	☐ I Shares	1747	\$	OR %
Emerging Markets Leaders Fund	☐ N Shares	2156	☐ I Shares	2157	\$	OR %
Emerging Markets Growth Fund	☐ N Shares	1836	☐ I Shares	1835	\$	OR %
Emerging Markets ex China Growth Fund			☐ I Shares	2788	\$	OR %
Emerging Markets Small Cap Growth Fund	☐ N Shares	2258	☐ I Shares	2257	\$	OR %
China Growth Fund			☐ I Shares	2747	\$	OR %

6. SIGNATURE REQUIRED

Please check with your current IRA custodian regarding the need for a signature guarantee before they will release the assets. If a signature guarantee is required and one is not provided, your new IRA account set-up will be delayed. IMPORTANT: If a signature guarantee is required, please wait to sign this form until you are in the presence of the authorized officer.

I hereby agree to the terms and conditions set forth in this IRA Asset Transfer Form and acknowledge having established a William Blair IRA through execution of a William Blair IRA application.

By execution of this application, the investor represents and warrants that (i) he has the full right, power and authority to make the investment applied for and (ii) he is a natural person of legal age in his state of residence and that all information on this application is true and correct. The investor certifies that the taxpayer identification number and tax status set forth in the application is correct. The person or persons, if any, signing on behalf of the investor represent and warrant that they are duly authorized to sign this application and purchase or redeem shares of the Fund on behalf of the investor. The person named in the registration must sign below.

By signing this form, I certify that: I have reviewed the current Fund prospectus(es) and agree to be bound by its terms. I have full authority and legal capacity to purchase Fund shares, and establish and use regular privileges.

I hereby adopt the William Blair Funds Retirement Custodial Agreement that is incorporated herein by reference and acknowledge having received and read it. I further acknowledge having received and read the William Blair Disclosure Statement and the prospectus(es) for the Fund(s) into which I am transferring my IRA for each William Blair Fund elected under this Agreement.

If I am a U.S. citizen or resident alien, I certify under penalties of perjury that the number shown in Section 1 is my correct taxpayer identification number and that I am a U.S. citizen or other U.S. person (this includes a U.S. resident alien).

If I am a nonresident alien, I understand that I am required to complete the appropriate Form W 8 to certify my foreign status. I understand that, if I am a nonresident alien, I am not under penalties of perjury for certifying to the above information.

Signature

Date (Month, Day, Year)

Please note that in some cases, under provisions of the Patriot Act, you may be required to provide additional verification to support your identity, such as a driver's license, birth certificate or passport.

7. SIGNATURE GUARANTEE *(If applicable)*

A signature guarantee can be obtained from an authorized member of a bank, broker or other acceptable financial institution. **A Notary Public is not an acceptable guarantor.**

Signature of Guarantor

Title/Name of Institution

Date (Month, Day, Year)

Authorized Officer to Place Stamp Here